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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Martyn et al.

Art Unit : 2164

Serial No. : 09/404,518

Examiner : Calve, J.

Filed : September 23, 1999

Title : MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM

Commissioner for Patents

Washington, D.C. 20231

RESPONSE PURSUANT TO 37 C.F.R. 1.116(a)

In response to the action mailed October 16, 2001, please amend the application in accordance with 37 C.F.R. 1.116(a), as follows:

In the specification:

Please replace the paragraph beginning at page 11, line 22 with the following rewritten paragraph:

The current quote montage 204 of the window 200 without agency quotes is similar to the long existing Nasdaq display montage, whereas the current quote montage 204 with the agency quotes as depicted in FIG. 9 is similar to that shown in U.S. Patent Application Serial No. 09/208,942, filed on December 12, 1998 entitled "DUAL QUOTE MARKET SYSTEM" (pending) by Richard G. Ketchum et al. and assigned in part to the assignee of the present invention.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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December 21, 2001

Marie Collins

Marie Collins

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an appeal  
brief (H.K.)

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#8/B  
D.E.

D. Cotton  
1-30-02